



# NEW WAVE WEALTH ADVISORS

*Plan. Perform. Prosper.*

**Jacqueline “Jackie” F. Rogers**

**CRD# 5810015**

New Wave Wealth Advisors LLC

IARD# 323414

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**Form ADV Part 2B  
Firm Brochure  
Supplement**

**January 23, 2024**

This Brochure Supplement provides information for Jacqueline “Jackie” F. Rogers that supplements the New Wave Wealth Advisors LLC brochure. You should have received a copy of that brochure. Please contact us at (479) 877-2525 or [hannah.stanley@newwavewealthadvisors.com](mailto:hannah.stanley@newwavewealthadvisors.com) if you did not receive NWWA’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Jacqueline “Jackie” F. Rogers is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**ITEM 2**

## Educational Background and Business Experience

Jacqueline “Jackie” Rogers, born in 1988, graduated from Missouri State University in May 2010 with a Bachelor of Science in Finance. Jackie Rogers’s employment information is listed below.

Client Advisor – Vice President New Wave Wealth Advisors	February 2023 – Present
Financial Planning Specialist 2 Arvest Wealth Management	November 2021 – February 2023
Trust Wealth Advisor 3 Arvest Wealth Management	June 2020 – November 2021
Financial Advisor Parsec Financial Management	July 2018 – June 2020
Financial Advisor Wells Fargo Clearing Services, LLC	September 2015 – June 2018

### PROFESSIONAL DESIGNATION

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor’s degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals. Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:
  - Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
  - Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### ITEM 3

## Disciplinary Information

Jackie Rogers has not been and/or is presently not involved in any disciplinary, legal, or regulatory events that would be material to a client’s evaluation of him or of NWWA.

### ITEM 4

## Other Business Activities

Jackie Rogers is also an insurance agent licensed to sell insurance products. A conflict of interest exists because these services pay a commission, which conflicts with the IAR’s fiduciary duties. NWWA does not require its IARs to encourage clients to implement investment advice through our insurance product recommendations. Clients have the right to implement insurance product recommendations through the insurance agency and agent of their choice. We require that all IARs disclose this conflict of interest when such recommendations are made. We also require IARs to disclose that the client has the right to purchase recommended products from individuals not affiliated with us.

### ITEM 5

## Additional Compensation

Jackie Rogers does not receive any additional compensation.

### ITEM 6

## Supervision

Hannah Stanley, Principal and Chief Compliance Officer of NWWA, is responsible for supervising the investment advisory activities of the investment adviser representatives. Hannah Stanley monitors and reviews all forms of written communications that the investment adviser representatives provide to clients. Hannah Stanley can be contacted via telephone at (479) 877-2525 and via email at hannah.stanley@newwavewealthadvisors.com.

### ITEM 7

## Requirements for State-Registered Advisers

- Jackie Rogers has not been involved in an award or found liable in any arbitration claim or in any civil, self-regulatory organization, or administrative proceedings.
- Jackie Rogers has not been the subject of a bankruptcy petition.